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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

02581-P0392A

First Inventor

George Berci

Title

Endoscopic Intubation System

Express Mail Label No.

EL 574 212 085 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages **16**]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)
- Abstract of the Disclosure

4. ☒ Drawings(s) (35 USC 113) [Total Sheets **2**]

5. Oath or Declaration [Total Pages **0**]

- a. ☐ New executed (original or copy)

- b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

- i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)

- b.: Specification Sequence Listing on:

- i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper

- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 of its equivalent.

17. ☐ Other.....

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part

of prior application No.:

Priority Claimed: DE 20105206.7 filed March 22, 2001

Prior application information: Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

- ☒ Customer Number of Bar Code Label

24126

(Insert Customer No. or Attach bar code label here)

- ☒ Correspondence address below

Name

Wesley W. Whitmyer, Jr.

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06905-5619

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203 324-6155

Fax

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Name (Print/Type)

Wesley W. Whitmyer, Jr.

Registration No. (Attorney/Agent)

33,558

Signature

Date

7/10/01

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

## Complete if Known

|                        |                  |
|------------------------|------------------|
| Application No.        | - Pending        |
| Filing Date            | July 10, 2001    |
| First Named Inventor   | George Berci     |
| Examiner Name          |                  |
| Group Art Unit         |                  |
| Attorney Docket Number | 02581-P0392A WWW |

TOTAL AMOUNT OF PAYMENT (\$ 710.00

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:
- Deposit Account Number: 19-4516
- Deposit Account Name: St.Onge Steward Johnston & Reens LLC
- ☒ Charge Any Additional Fee Required Under CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid   |
|----------------|-----------------|----------------|-----------------|------------------------|------------|
| 101            | 710             | 201            | 355             | Utility filing fee     | 710.00     |
| 106            | 320             | 206            | 160             | Design filing fee      |            |
| 107            | 490             | 207            | 245             | Plant filing fee       |            |
| 108            | 710             | 208            | 355             | Reissue filing fee     |            |
| 114            | 150             | 214            | 75              | Provisional filing fee |            |
| SUBTOTAL (1)   |                 |                |                 |                        | (\$ 710.00 |

### 2. EXTRA CLAIMS FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                     |          |
| 102            | 80              | 202            | 40              | Independent claims in excess of 3                          |          |
| 104            | 270             | 204            | 135             | Multiple dependent claims, if not paid                     |          |
| 109            | 80              | 209            | 40              | ** Reissue independent claims over original patent         |          |
| 110            | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |          |

\*\* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (2) (\$ -0-

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing for or oath  |          |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing or cover sheet                         |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for ex parte reexamination                            |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*          | 113            | 1,840           | Requesting publication of SIR after Examiner Action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 390             | 216            | 195             | Extension for reply within second month                                    |          |
| 117            | 890             | 217            | 445             | Extension for reply within third month                                     |          |
| 118            | 1,390           | 218            | 695             | Extension for reply within fourth month                                    |          |
| 128            | 1,890           | 228            | 945             | Extension for reply within fifth month                                     |          |
| 119            | 310             | 219            | 155             | Notice of Appeal   |          |
| 120            | 310             | 220            | 155             | Filing a brief in support of an appeal                                     |          |
| 121            | 270             | 221            | 135             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,240           | 241            | 620             | Petition to revive - unintentional   |          |
| 142            | 1,240           | 242            | 620             | Utility issue fee (or reissue)   |          |
| 143            | 440             | 243            | 220             | Design issue fee   |          |
| 144            | 600             | 244            | 300             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Processing fee under 37 CFR 1.17(q)  |          |
| 126            | 240             | 126            | 240             | Submission of Informational Disclosure Stmt                                |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per Property (times number of properties) |          |
| 146            | 710             | 246            | 355             | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149            | 710             | 249            | 355             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179            | 710             | 279            | 355             | Request for Continued Examination (RCE)                                    |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee paid

SUBTOTAL (3) (\$ -0-

|   |                         |                                   |              |
|---|-------------------------|-----------------------------------|--------------|
| SUBMITTED BY St.Onge Steward Johnston & Reens LLC |                         | Complete (if applicable)          |              |
| Name (Print Type)                                 | Wesley W. Whitmyer, Jr. | Registration No. (Attorney/Agent) | 33,558       |
| Signature   |                         | Telephone                         | 203 324-6155 |
|   |                         | Date                              | 7/10/01      |

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